



# Statement verifying report under s430(1), 475(2) or 446C(2)

**Related forms:**

911 Verification or certification of a document

If there is insufficient space in any section of the form, you may photocopy the relevant page(s) and submit as part of this lodgement

|                        |                                      |
|------------------------|--------------------------------------|
| <b>Company details</b> | Company name<br><input type="text"/> |
|                        | ACN/ABN<br><input type="text"/>      |

|                          |  |
|--------------------------|--|
| <b>Lodgement details</b> | <b>Who should ASIC contact if there is a query about this form?</b>  |
|                          | Firm/organisation<br><input type="text"/>                            |
|                          | Contact name/position description<br><input type="text"/>            |
|                          | ASIC registered agent number (if applicable)<br><input type="text"/> |
|                          | Telephone number<br><input type="text"/>                             |
|                          | Postal address or DX address<br><input type="text"/>                 |
|                          | <input type="text"/>   |

## 1 Statement

A report made out for the purposes of s430(1), s475(2) or s446C(2) is to be submitted by and verified by the following Statement made by: **ASIC internal form code**

- (a) where the Statement is made out for the purposes of s430(1)—the person to whom the notice under that subsection is addressed 511A
- (b) where the Statement is made out for the purposes of s475(2)—the person to whom the notice under that subsection is addressed, or 511B
- (c) where the Statement is made out for the purposes of s446C(2)—the person to whom the notice under that subsection is addressed. 511C

\* Strike out whichever is inapplicable.

The particulars contained in the report under \*s430(1)/\*s475(2)/\*s446C(2) dated as follows in the annexure marked "A" and signed by me are true to the best of my knowledge and belief.

Date of report under \*s430(1)/\*s475(2)/\*s446C(2)

/   /    
[D] [D] / [M] [M] / [Y] [Y]

Name

Capacity

Signature

Date signed

/   /    
[D] [D] / [M] [M] / [Y] [Y]

## 2 Purpose for lodgement of copy of Form 511

This information is required for ASIC to form an opinion whether it may receive or register this document under s1274(9). Please note that failure to supply this information may result in rejection of this document.

This form should be lodged together with a **Form 911 Verification or certification of a document**

This form is lodged by:

the liquidator/provisional liquidator appointed by the Court, under s475(7)

Date of receipt of report prepared under s475(2)

/   /    
[D] [D] [M] [M] [Y] [Y]

the controller, under regulation 1.0.03B, (a report prepared under s430(1) )

Date of receipt of report prepared under s430(1)

/   /    
[D] [D] [M] [M] [Y] [Y]

the liquidator, under s446C(7)

Date of receipt of report prepared under s446C(2)

/   /    
[D] [D] [M] [M] [Y] [Y]

### Lodgement

Send completed and signed forms to:  
Australian Securities and Investments Commission,  
PO Box 4000, Gippsland Mail Centre VIC 3841.

Or lodge the form electronically by visiting the ASIC website  
[www.asic.gov.au](http://www.asic.gov.au)

### For more information

Web [www.asic.gov.au](http://www.asic.gov.au)  
Need help? [www.asic.gov.au/question](http://www.asic.gov.au/question)  
Telephone 1300 300 630

# Guide: Statement verifying report under s430(1), 475(2) or 446C(2)

This guide does not form part of the form. It is included by ASIC to assist you in completing and lodging the Form 511.

**Related forms:**

911 Verification or certification of a document

|                         |   |
|-------------------------|---|
| <b>Lodgement period</b> | A report under s430(1), s446C(2) or s475(2) - within 7 days after the receipt of the report by controller or liquidator.  |
| <b>Late fees</b>        | <p>The late fees are:</p> <ul style="list-style-type: none"><li>• \$67 for up to one month late</li><li>• \$278 for over one month late.</li></ul> <p>A form is not considered lodged until it is received and accepted by ASIC as being in compliance with s1274(8) of the Corporations Act 2001. A receipt will not be issued unless requested.</p> |

**Lodgement**

Send completed and signed forms to:  
Australian Securities and Investments Commission,  
PO Box 4000, Gippsland Mail Centre VIC 3841.

Or lodge the form electronically by visiting the ASIC website  
[www.asic.gov.au](http://www.asic.gov.au)

**For more information**

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Telephone 1300 300 630