



Notice by industry body of possible grounds for disciplinary action

Lodgement details

Who should ASIC contact if there is a query about this form?

Contact name

Position description

Telephone number

Postal address

Industry body

- | | |
|---|---|
| <input type="checkbox"/> Australian Restructuring Insolvency & Turnaround Association | <input type="checkbox"/> the Bar Association of Queensland |
| <input type="checkbox"/> CPA Australia | <input type="checkbox"/> the Queensland Law Society |
| <input type="checkbox"/> Chartered Accountants Australia and New Zealand | <input type="checkbox"/> the Legal Practice Board of Western Australia |
| <input type="checkbox"/> the Institute of Public Accountants | <input type="checkbox"/> the Law Society of South Australia |
| <input type="checkbox"/> the New South Wales Bar Association | <input type="checkbox"/> the Legal Profession Conduct Commissioner of South Australia |
| <input type="checkbox"/> the Law Society of New South Wales | <input type="checkbox"/> the Law Society of Tasmania |
| <input type="checkbox"/> the Victorian Legal Services Commissioner | <input type="checkbox"/> the Law Society of the Australian Capital Territory |
| <input type="checkbox"/> the Victorian Legal Services Board | <input type="checkbox"/> the Law Society of Northern Territory |
| <input type="checkbox"/> Other body prescribed for the purposes of s40-110 of the Insolvency Practice Schedule (Corporations) | |

Name of other body

Note: above industry bodies are prescribed for the purposes of section 40-110 of the Insolvency Practice Schedule (Corporations)

Referral details

We reasonably suspect that there are grounds for ASIC:

- to suspend the registration of a registered liquidator under section 40-25 of the Insolvency Practice Schedule (Corporations); or
- to cancel the registration of a registered liquidator under section 40-30 of the Insolvency Practice Schedule (Corporations); or
- to give a registered liquidator a notice under section 40-40 (a show-cause notice) of the Insolvency Practice Schedule (Corporations); or
- to impose a condition on a registered liquidator under another provision of the Insolvency Practice Schedule (Corporations).

Registered liquidator details

Please provide the name of the registered liquidator you suspect there are reasonable grounds for ASIC to take disciplinary action against

If there is more than one liquidator you wish to notify ASIC about, please complete a separate form for each liquidator

Name of liquidator

Firm name

Annexure

The information and copies of any documents upon which the suspicion is founded are included in the annexure

marked of pages

Annexures must conform to the requirements.

Signature

This form must be signed by the person giving notice

Name of person signing

Signature

Date signed

/ /
[D] [D] / [M] [M] / [Y] [Y]

Lodgement

Send a scanned copy of the signed form and all supporting documents by email to:

RLdiscipline.industrynotice@asic.gov.au

The size limit of each email we will accept is 10MB.

If necessary, to meet size limits, please send multiple emails containing supporting documents. Make sure that you include the relevant liquidator's name in the subject line of each email.

For more information

Web www.asic.gov.au

Need help? www.asic.gov.au/question

Telephone 1300 300 630

Guide: Notice by industry body of possible grounds for disciplinary action

This guide does not form part of the form. It is included by ASIC to assist you in completing and lodging the Form RL35.

Definitions	In this form, "Insolvency Practice Schedule (Corporations)" means Schedule 2 to the Corporations Act 2001					
How to provide additional information	<p>Additional form pages If there is insufficient space in any section to provide all information, you may attach an additional page of the form.</p> <p>Attachments You may submit attachments as part of this lodgement.</p> <p>You may use this label on attachments to this form:</p> <table border="1"><tr><td>Liquidator name:</td></tr><tr><td>Attachment: [insert identifying mark eg. A, B, C or 1, 2, 3]</td></tr><tr><td>This is attachment [insert mark] of [insert number] pages referred to in form [insert form number and title]</td></tr><tr><td>Date prepared:</td></tr><tr><td>Signed</td></tr></table> <p>Ensure the following:</p> <ol style="list-style-type: none">1. The attachment should be signed by the same person(s) who signed the form2. Use white A4 size paper3. Use dark blue or black ink4. Number the pages of the attachment.	Liquidator name:	Attachment: [insert identifying mark eg. A, B, C or 1, 2, 3]	This is attachment [insert mark] of [insert number] pages referred to in form [insert form number and title]	Date prepared:	Signed
Liquidator name:						
Attachment: [insert identifying mark eg. A, B, C or 1, 2, 3]						
This is attachment [insert mark] of [insert number] pages referred to in form [insert form number and title]						
Date prepared:						
Signed						

Privacy Note that under s1274(2) of the Corporations Act 2001, a person may inspect and may require a copy of or extract from the notification once lodged with ASIC.

The information provided to ASIC in this form may include personal information. Please refer to our privacy policy (www.asic.gov.au/privacy) for information about how we handle personal information, rights to seek access to and correct personal information, and to complain about breaches of privacy.

Lodgement	Send a scanned copy of the signed form and all supporting documents by email to: RLdiscipline.industrynotice@asic.gov.au The size limit of each email we will accept is 10MB. If necessary, to meet size limits, please send multiple emails containing supporting documents. Make sure that you include the relevant liquidator's name in the subject line of each email.	For more information Web www.asic.gov.au Need help? www.asic.gov.au/question Telephone 1300 300 630
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